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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MR1111-623  
First Inventor HSIEN-TSUNG YEH  
Title AN INJECTION ENCAPSULATING PROCESS  
FOR A 3D ANIMATION CUP  
Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 6]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table,  
or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [X] Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure [ ] Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☒ Correspondence address below

|         |  |           |              |          |              |
|---------|--|-----------|--------------|----------|--------------|
| Name    | Morton J. Rosenberg, Esq.              |           |              |          |              |
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|                   |                     |                                   |             |
|-------------------|---------------------|-----------------------------------|-------------|
| Name (Print/Type) | Morton J. Rosenberg | Registration No. (Attorney/Agent) | 26,049      |
| Signature         | Morton J. Rosenberg |                                   | Date 4/9/01 |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

TOTAL AMOUNT OF PAYMENT (\$ 355.00)

|                      |                 |
|----------------------|-----------------|
| Application Number   |                 |
| Filing Date          |                 |
| First Named Inventor | HSIEN-TSUNG YEH |
| Examiner Name        |                 |
| Group Art Unit       |                 |
| Attorney Docket No.  | MR1111-623      |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge deficiencies of fees and credit any overpayments to:

Deposit  
Account  
Number

18-2011

Deposit  
Account  
Name

Rosenberg, Klein &amp; Lee

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code     | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------|----------|----------|----------|------------------------|----------|
| 101          | 710      | 201      | 355      | Utility filing fee     | \$355    |
| 106          | 320      | 206      | 160      | Design filing fee      |          |
| 107          | 490      | 207      | 245      | Plant filing fee       |          |
| 108          | 710      | 208      | 355      | Reissue filing fee     |          |
| 114          | 150      | 214      | 75       | Provisional filing fee |          |
| SUBTOTAL (1) |          |          |          |                        | (\$ 355) |

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 1                  | -20** = 0    | 9              | 0        |
| 1                  | -3** = 0     | 40             | 0        |
| Multiple Dependent |              | 135            | 0        |

Large Entity Small Entity

| Fee Code     | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|--------------|----------|----------|----------|--|----------|
| 103          | 18       | 203      | 9        | Claims in excess of 20                                     |          |
| 102          | 80       | 202      | 40       | Independent claims in excess of 3                          |          |
| 104          | 270      | 204      | 135      | Multiple dependent claim, if not paid                      |          |
| 109          | 80       | 209      | 40       | ** Reissue independent claims over original patent         |          |
| 110          | 18       | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |          |          |          |  | (\$ 0)   |

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|--|----------|
| 105                   | 130                   | 205                   | 65                    | Surcharge - late filing fee or oath  |          |
| 127                   | 50                    | 227                   | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                   | 130                   | 139                   | 130                   | Non-English specification  |          |
| 147                   | 2,520                 | 147                   | 2,520                 | For filing a request for ex parte reexamination                            |          |
| 112                   | 920*                  | 112                   | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113                   | 1,840*                | 113                   | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115                   | 110                   | 215                   | 55                    | Extension for reply within first month                                     |          |
| 116                   | 390                   | 216                   | 195                   | Extension for reply within second month                                    |          |
| 117                   | 890                   | 217                   | 445                   | Extension for reply within third month                                     |          |
| 118                   | 1,390                 | 218                   | 695                   | Extension for reply within fourth month                                    |          |
| 128                   | 1,890                 | 228                   | 945                   | Extension for reply within fifth month                                     |          |
| 119                   | 310                   | 219                   | 155                   | Notice of Appeal   |          |
| 120                   | 310                   | 220                   | 155                   | Filing a brief in support of an appeal                                     |          |
| 121                   | 270                   | 221                   | 135                   | Request for oral hearing   |          |
| 138                   | 1,510                 | 138                   | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                   | 110                   | 240                   | 55                    | Petition to revive - unavoidable   |          |
| 141                   | 1,240                 | 241                   | 620                   | Petition to revive - unintentional   |          |
| 142                   | 1,240                 | 242                   | 620                   | Utility issue fee (or reissue)   |          |
| 143                   | 440                   | 243                   | 220                   | Design issue fee   |          |
| 144                   | 600                   | 244                   | 300                   | Plant issue fee  |          |
| 122                   | 130                   | 122                   | 130                   | Petitions to the Commissioner  |          |
| 123                   | 130                   | 123                   | 130                   | Petitions related to provisional applications                              |          |
| 126                   | 180                   | 126                   | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581                   | 40                    | 581                   | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146                   | 710                   | 246                   | 355                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                   | 710                   | 249                   | 355                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                   | 710                   | 279                   | 355                   | Request for Continued Examination (RCE)                                    |          |
| 169                   | 900                   | 169                   | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

Name (Print/Type)

Morton J. Rosenberg

Registration No.  
(Attorney/Agent)

26,049

Complete (if applicable)

Telephone

410-465-6678

Signature

Morton J. Rosenberg

Date

4/9/01

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